



Kansas Real Estate Commission

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Public access is available by appointment only

COMPLIANCE REVIEW QUESTIONNAIRE | REC-550

INSTRUCTIONS

Complete this questionnaire if you have received an Initial Notice of Compliance Review from a KREC real estate specialist. Each compliance review conducted for your company or branch office will require a new questionnaire be completed and returned by mail or email. **This is a fillable form, please type to complete.**

This form cannot be used to change your company name, contact information, or supervising broker. Visit the Online Licensing Portal at https://licensing.ks.gov/EGOV_KREC to make those changes.

SUPPORTING DOCUMENTATION: TRANSACTION LOG

Attach your Kansas transaction log for the prior 12 month period. Time period starts today and goes back 12 months. If you do not maintain a transaction log, please submit a list of all Kansas transactions for the prior 12 month period. The transaction log or list should include the transaction identification number, seller's/landlord's name, and buyer's/tenant's name.

On the transaction log or list, identify all pending transactions by **highlighting** them in a different color or placing a "P" next to the respective transaction number.

COMPANY INFORMATION

Broker Name		Broker License Number		
Company Name		Company Number		
Address	City	State	Zip	County

DAYTIME PHONE NUMBER WHERE I CAN BE REACHED: _____

KANSAS TRANSACTION INFORMATION

Number of pending Kansas transactions (Currently under contract)	
Total number of Kansas transactions for the prior 12 month period*	

***IF NO TRANSACTIONS FOR THE PRIOR 12 MONTHS,** WHAT IS THE TOTAL NUMBER OF KANSAS TRANSACTIONS FOR THE PAST 3 YEARS? _____
PROVIDE A TRANSACTION LOG FOR THE 3 YEAR PERIOD. INCLUDE TRANSACTION IDENTIFICATION NUMBER, SELLER/LANDLORD'S NAME, AND BUYER/TENANT'S NAME FOR EACH TRANSACTION

TRANSACTION FILES ARE MAINTAINED AT: MAIN OFFICE BRANCH OFFICE ONLINE OTHER: _____

DO YOU MAINTAIN A TRUST ACCOUNT? No Yes

IF YES, HOW MANY CHECKS HAVE BEEN WRITTEN FROM THE TRUST ACCOUNT IN THE PAST 12 MONTHS? _____

SUPERVISING/BRANCH BROKER CERTIFICATION

I declare under penalty of perjury under the laws of the State of Kansas that I have read and understand this questionnaire and that the information I have provided is true, correct and complete to the best of my knowledge.

BROKER'S SIGNATURE

DATE SIGNED